



Attorney's Docket No. 05043.P024

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Jim Mao, et al.

Application No.: 09/874,738

Filed: June 4, 2001

For: Method and Apparatus For Egress
Channel Architecture That Supports
Protection Within SONET/SDH Based
Networks

Examiner: Not Yet Assigned

Art Unit: 2661

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Technology Center 2600

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on December 5, 2003
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12/09/2003 EFLORES 00000094 09874738

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_____ 37 C.F.R. §1.97(b).

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_____ A statement pursuant to 37 C.F.R. §1.97(e) or

 X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

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- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 12/5, 2003



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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/874,738
Filing Date	June 4, 2001
First Named Inventor:	Jim Mao
Art Unit	2661
Examiner Name	Not Yet Assigned
Attorney Docket Number	05043.P024

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
		us-	US-5,065,314	11-12-1991	Maskovyak	1-30
		us-	US-5,428,806	06-27-1995	Pocrass	1-30
		us-	US-5,596,730	01-21-1997	Sekine	RECEIVED
		us-	US-5,721,727	02-1998	Ashi et al.	
		us-	US-5,724,352	03-03-1998	Cloonan et al.	
		us-	US-5,777,874	07-07-1998	Flood et al.	
		us-	US-5,793,745	08-11-1998	Manchester	DEC 10 2003
		us-	US-5,815,489	09-29-1998	Takatori et al.	Technology Center 2
		us-	US-6,226,111 B1	05-01-2001	Chang et al.	
		us-	US-6,229,814 B1	05-08-2001	McMillian et al.	2-5, 15 and 23-29
		us-	US-6,317,439 B1	11-13-2001	Cardona et al.	
		us-	US-6,359,859 B1	03-19-2002	Brolin et al.	1,6-14, 16-22 and 30
		us-	US-6,608,836 B2	08-19-2003	Mao et al.	
		us-				
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				

 Examiner
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Date Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

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Substitute for Form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/874,738
		Filing Date	June 4, 2001
		First Named Inventor:	Jim Mao
		Art Unit	2661
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	05043.P024
Sheet	of	2	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		YUANYUAN YANG, Nonblocking Broadcast Switching Networks, IEEE Transaction on Computers, Vol. 40, No. 9, September 1991, pages 1005-1015.	
		SATORU OHTA and HIROMI UEDA, A Rearrangement Algorithm for Three-Stage Switching Networks, Electronics and Communications in Japan, Part 1, Vol. 70, No. 9, 1987, pages 68-77.	

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